

Third party / class of third party	Information that may be collected <u>indirectly</u>	Purpose of collection	Likely recipients / onward sharing	Legal authority if collection is authorised or required by law	Notification method / evidence
Insurance providers: AIA & SovLink Chubb Fidelity Life NIB Partners Life Resolution Life Southern Cross	Application details & status, policy details, premiums, terms, underwriting outcomes, claims information, servicing information. Authority to contact medical provider & access to medical history, treatment reports, work capacity or rehabilitation information.	To provide advice, implement recommendations, administer products, review suitability, and service client arrangements. To support insurance applications, underwriting, claims.	Relevant provider, reinsurer, administrator, adviser & support staff, underwriter, claims assessor, dispute resolution body, regulator where required.	N/A	Provider form/s, application form, authority form. Claim form notice.
KiwiSaver providers: ANZ & OneAnswer Booster Fisher Funds Generate Mercer Milford Pathfinder Consilium KiwiWRAP	Application details including tax information, bank account details, balances.	To provide advice, implement recommendations, administer products, review suitability, and service client arrangements. To meet AML/CFT requirements and KYC.	Relevant provider, custodian, administrator, adviser & support staff, dispute resolution body, regulator where required.	FMA	Provider form/s, application form, authority form.
Investment providers (off platform): ANZ Investments Booster BT Funds Fisher Funds Generate Heartland Bank Milford Mercer Macquarie	Application details including tax information, bank account details, balances.	To provide advice, implement recommendations, administer products, review suitability, and service client arrangements. To meet AML/CFT requirements and KYC.	Relevant provider, administrator, adviser & support staff, dispute resolution body, regulator where required.	FMA	Provider form/s, application form, authority form.
Custodians, platforms, and administrators: Consilium	Account details, transaction history, holdings, beneficiary details, contribution or withdrawal records	To provide advice, implement recommendations, administer products, review suitability, and service client arrangements. To	Adviser & support staff, relevant provider, platform, custodian, auditor/compliance reviewer where required.	FMA	Contract of engagement, Authority to appoint custodian, DIMS

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iSelect NZXWT OneAnswer Select Wealth		meet AML/CFT requirements and KYC.			Agreement where applicable, Client Information Booklet.
Identity verification AML providers: MemberCheck Centrix	Identity details, address verification, sanctions/PEP screening results, source-of-funds/source-of-wealth supporting information.	To verify identity and meet AML/CFT and related compliance obligations.	AML provider, compliance staff, auditors, supervisor/regulator where required.	N/A	Client Information Booklet, contract of engagement.
Employers or payroll providers: MYOB MyIR Xero	Income, employment status, benefits, full tax information, leave or cover details.	To assess affordability, insurance needs, group scheme eligibility, or employee benefit advice.	Relevant provider, underwriter, adviser & support staff, administrators.	N/A	Authority form, contact of employment.
Publicly available sources: Companies Office Web search engines Social Media pages	Publicly available personal information.	Due diligence, verification, or file administration.	Practice staff, compliance staff.	Publicly available information - exception may be considered case by case.	Internal exception assessment record.
Accountants, lawyers, trustees, executors, and other professional advisers.	Asset, liability, trust, estate, business, tax, or legal information.	To understand the client's circumstances and coordinate advice.	Relevant professional advisers, providers, compliance staff.	N/A	Authority form, engagement letter, email notice.
Referrers and introducers.	Contact details and high-level circumstances.	To contact the individual and determine whether advice is appropriate.	Practice staff and, if engaged, relevant adviser.	N/A	Referral notice / first contact email.
Dispute resolution schemes: IFSO	Complaint information, file notes, transaction evidence, advice records.	To meet legal obligations, complaint handling, audits, monitoring, and reporting.	Regulator, scheme, auditor, legal counsel, compliance consultant.	IFSO FMA	Contract of engagement, client information booklet.